

**ANTI - MONEY LAUNDERING & COMBATING FINANCING OF TERRORISM  
QUESTIONNAIRE**

As per BFIU Circular No 19, Dated 17 Sep 2017

<b>A. BASIC INFORMATION</b>	
1.	Name of the Institution: <b>PRIME BANK LIMITED</b>
2.	Registered Address: <b>Adamjee Court Annexe Building-2, 119-120 Motijheel Comm. Area, Dhaka-1000, Bangladesh</b>
3.	Website Address: <b>www.primebank.com.bd</b>
4.	Principal Business Activities:  <b>Corporate, Commercial, SME, Retail, Islamic through centralized relationship based banking model, Offshore banking through Offshore banking Units (OBU) established in designated Export Processing Zones of Bangladesh regulated by Government body (BEPZA).</b>
5.	Regulatory Authority: <b>Bangladesh Bank</b>
6.	Operational Status :  • Does your Bank maintain a physical presence in the licensing country? <span style="float: right;"><input checked="" type="checkbox"/>Yes <input type="checkbox"/>No</span>

<b>B. OWNERSHIP / MANAGEMENT</b>	
7.	Is your institution listed in any stock exchange? If so, which stock exchange?  <b>Dhaka Stock Exchange (DSE) and Chittagong Stock Exchange (CSE)</b>  <b>Symbol: PRIMEBANK</b> <span style="float: right;"><input checked="" type="checkbox"/>Yes <input type="checkbox"/>No</span>
8.	If "No" to Q7, please provide a list of the major shareholders holding more than 10% shares in your institution.  <b>Not applicable.</b>  None of our directors/sponsors, shareholders either individuals or institutional holds more than 10.00% stocks of the Bank.

### C. ANTI-MONEY LAUNDERING AND TERRORIST FINANCING CONTROLS

If you answer "no" to any question, additional information can be supplied at the end of the questionnaire.

#### I. General AML & CFT Policies, Practices and Procedures:

9.	Does your institution have policies and procedures approved by your institution's board or senior management to prevent money laundering and Combat Financing of Terrorism?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
10.	Does your institution have a legal and regulatory compliance program that includes a designated officer that is responsible for coordinating and overseeing the AML/CFT framework?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
11.	Has your institution developed written policies documenting the processes that they have in place to prevent, detect and report suspicious transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
12.	Does your institution have a policy prohibiting accounts/relationships with shell banks? ( <i>A shell bank is defined as a bank incorporated in a jurisdiction in which it has no physical presence and which is unaffiliated with a regulated financial group.</i> )	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
13.	Does your institution permit the opening of anonymous or numbered accounts by customers?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
14.	Does your institution have policies to reasonably ensure that they will not conduct transactions with or on behalf of shell banks through any of its accounts or products?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
15.	Does your institution have policies covering relationships with Politically Exposed Persons (PEP's), their family and close associates?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
16.	Does your institution have policies and procedures that require keeping all the records related to customer identification and their transactions? If "Yes", for how long? <b>Res: 5 years after closing of accounts.</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

#### II. Risk Assessment

17.	Does your institution have a risk-based assessment of its customer base and their transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
18.	Does your institution determine the appropriate level of enhanced due diligence necessary for those categories of customers and transactions that the FI has reason to believe pose a heightened risk of illicit activities at or through the FI?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No